

致：鉅誠證券有限公司（“鉅誠”，中央編號 BPX754）
 To: Citrus Securities Limited (“CSL”, CE# BPX754)
 香港灣仔軒尼詩道 303 號協成行灣仔中心 2201 室
 Room 2201, 22/F, OfficePlus @Wan Chai, 303 Hennessy Road, Wan Chai, Hong Kong
 Website: www.citrussecurities.com
 Email: cs@citrussecurities.com,
 Tel: +852 3425 4196

僅供內部使用 For internal use only
Account Type: <input type="radio"/> Cash <input type="radio"/> Margin
Account No.:
AE name/Code:
Referrer:
Account Opening Date:

開戶表格 Account Opening Form (個人客戶 Individual Client)

本開戶表格及其附件構成賬戶申請人(「客戶」)與鉅誠就有關賬戶之開立、維持及運作而訂立之客戶協議書的一部分，若本表格英文版本與中文版本有所衝突或不一致之處，應以英文版本為準。

This account opening form and its appendices constitutes part of the written Client Agreement between the account applicant (the "Client") and CSL, regarding the opening, maintenance, and operation of the Account. Where there is any conflict or inconsistency between the English and Chinese versions of this Form, the English version shall prevail.

(I) 個人資料 Personal Information

中文姓名(先生/女士):	流動電話 Mobile No.
English Name(Mr./Ms.)	住宅電話 Home Tel. No.
證件類別 Type of Identity Document	簽發地區 Place of Issue
證件號碼 ID/Passport No.	出生日期 Date of Birth
國籍 Nationality	出生地點 Place of Birth
電郵地址 Email Address	
住宅地址 Residential Address:	
通訊地址 (如與住宅地址不同) Correspondence Address:	
戶口結單或通知 Delivery of Account Statement or other notification <input type="radio"/> 電郵至主電郵地址 To Email Address by email	
<input type="radio"/> 郵寄至通訊地址 (每份HK\$50) Residential or Correspondence Address by post (HK\$50 per statement)	

登記銀行賬戶 Registered Bank Account

以下的銀行賬戶為客戶的登記賬戶（「登記賬戶」）。客戶特此授權鉅誠在收到客戶的提款指示後將款項支付予客戶之登記賬戶。鉅誠將在符合客戶協議中的條款之規定及於合理可行的情況下，根據該等提款指示盡快為客戶存入款項至指定的登記賬戶。鉅誠無須對基於任何原因而延遲存入款項導致客戶蒙受的任何虧失、開支或賠償承擔任何法律責任。

The following bank account is the registered account of the Client (the "Registered Account"). The Client hereby authorises CSL to deposit payments into the Registered Account upon receipt of and in accordance with the Client's instructions on fund withdrawal. CSL will, subject to the terms and conditions of the Client Agreement and reasonable practicality, deposit the payments into the Registered Account for the Client as soon as possible. CSL shall not be held liable for any loss, expenses or damages suffered by the Client as a result of any delay in depositing such payments caused by any reason whatsoever.

貨幣 Currency	銀行名稱 Name of Bank	賬戶名 Account Name (須與客戶名稱一致)	戶口號碼 Account Number #
HKD 港元			
USD 美金			
RMB 人民幣			

#銀行戶口僅供存款及提款用途使用 Bank Account shall be used for fund deposit & withdraw only

客戶進一步授權鉅誠接納客戶之電話/口頭通知，作為客戶名下證券多個賬戶間之轉賬指示。The Client further authorises CSL to accept the Client's telephone/verbal instruction for settlement instruction between Securities Accounts opened in CSL.

登記賬戶名稱須與客戶名稱一致 Name of the Registered Bank Account must be the name of the Client.

戶口種類 Account Type: <input type="radio"/> 現金賬戶 Cash Account <input type="radio"/> 保證金賬戶 Margin Account	
電子交易服務 ELECTRONIC TRADING SERVICE <input type="radio"/> 需要 Required 請為本人提供電子服務。本人已經閱讀並明白電子交易協議的條款，並同意這些條款的約束。 Please provide me with Electronic Trading Services. I have read and understand the provisions of the Electronic Trading Services and agree to be bound by same. <input type="radio"/> 不需要 Not Required	
語言選擇 Language Selection for Statement <input type="radio"/> 簡體中文 <input type="radio"/> 繁體中文 <input type="radio"/> ENGLISH	
教育程度 Education Level	<input type="radio"/> 小學或以下 Primary or below <input type="radio"/> 中學 Secondary <input type="radio"/> 大學或以上 College/University or above
就業狀況 Work Status	<input type="radio"/> 受僱 Employed <input type="radio"/> 自僱 Self-employed <input type="radio"/> 退休 Retired <input type="radio"/> 待業 Unemployed <input type="radio"/> 其他 Others _____
現在/最近的僱主名稱 Name of current / most recent Employer	
辦公室地址 Office Address	
業務性質 Nature of Business	
職位和任職年期 Position and Years of Service	

財務狀況 Financial Situation

每年收入 Annual Income (港幣 in HK\$) <input type="radio"/> <= \$250,000 <input type="radio"/> \$250,001 - \$500,000 <input type="radio"/> \$500,001 - \$1,000,000 <input type="radio"/> >\$1,000,000
流動資產淨值 Total Liquid Asset (港幣 in HK\$) <input type="radio"/> <= \$500,000 <input type="radio"/> \$500,001 - \$2,500,000 <input type="radio"/> \$2,500,001 - \$5,000,000 <input type="radio"/> >\$5,000,000
估計投資金額 Estimated Investment Amount (港幣 in HK\$) <input type="radio"/> <= \$500,000 <input type="radio"/> \$500,001 - \$2,500,000 <input type="radio"/> \$2,500,001 - \$5,000,000 <input type="radio"/> >\$5,000,000
住宅業權 Ownership of Residence <input type="radio"/> 無抵押自置物業 Owned (Not Mortgaged) <input type="radio"/> 已抵押自置物業 Self-owned owned (Mortgaged) <input type="radio"/> 租用 Rented <input type="radio"/> 親屬擁有房產 Owned by relative
資金/財富來源 Sources of Fund/Wealth: <input type="radio"/> 薪金儲蓄 Saving from Salary <input type="radio"/> 商業盈利 Business Profits <input type="radio"/> 租金收入 Rental Income <input type="radio"/> 金融機構借貸 Loan from Financial Institution <input type="radio"/> Others 其他:

投資經驗及目標 Investment Experience and Objective

投資產品經驗 Experience with Investment Product					
證券 Stocks	<input type="radio"/> 沒有 Nil	<input type="radio"/> <1年 year	<input type="radio"/> 1-5年 years	<input type="radio"/> 6-10年 years	<input type="radio"/> >10年 years
牛熊證 CBBC	<input type="radio"/> 沒有 Nil	<input type="radio"/> <1年 year	<input type="radio"/> 1-5年 years	<input type="radio"/> 6-10年 years	<input type="radio"/> >10年 years
衍生權證 Warrants	<input type="radio"/> 沒有 Nil	<input type="radio"/> <1年 year	<input type="radio"/> 1-5年 years	<input type="radio"/> 6-10年 years	<input type="radio"/> >10年 years
期貨期權 Futures/Options	<input type="radio"/> 沒有 Nil	<input type="radio"/> <1年 year	<input type="radio"/> 1-5年 years	<input type="radio"/> 6-10年 years	<input type="radio"/> >10年 years
基金 Funds	<input type="radio"/> 沒有 Nil	<input type="radio"/> <1年 year	<input type="radio"/> 1-5年 years	<input type="radio"/> 6-10年 years	<input type="radio"/> >10年 years
債券 Bonds	<input type="radio"/> 沒有 Nil	<input type="radio"/> <1年 year	<input type="radio"/> 1-5年 years	<input type="radio"/> 6-10年 years	<input type="radio"/> >10年 years
其他 Others _____	<input type="radio"/> 沒有 Nil	<input type="radio"/> <1年 year	<input type="radio"/> 1-5年 years	<input type="radio"/> 6-10年 years	<input type="radio"/> >10年 years
閣下可用作投資的可動用收入的平均百分比是多少? What is the average percentage of the your disposable income that can be set aside for investment? <input type="radio"/> <5% <input type="radio"/> 5% ~ 15% <input type="radio"/> 15% ~ 25% <input type="radio"/> >25%					
閣下將用作投資的資金佔淨流動資產值(不包括自住物業等固定資產的價值)的平均百分比是多少? What is the average percentage of your current net worth (excluding the value of your fix asset such as self-occupied property) that will be allocated for investment purpose? <input type="radio"/> <10% <input type="radio"/> 10% ~ 20% <input type="radio"/> 20% ~ 30% <input type="radio"/> >30%					
閣下的預計投資期限是多久? How long is your expected investment horizon? <input type="radio"/> <1年(year) <input type="radio"/> 1 ~ 3年(year) <input type="radio"/> 3 ~ 5年(year) <input type="radio"/> >5年(year)					
在一般情況下，如果閣下的投資組合在一天內大幅下跌（例如：超過20%），閣下會有什麼反應？					

In general, how would you react if your portfolio drop significantly (e.g. more than 20%) within one day?

- 感到無助，不知道該怎麼辦 Feel helpless, not sure what to do
- 通過出售所有剩餘投資止蝕 Cut loss by selling all the remaining investment
- 不會太擔心，因為波動是難免的 Not much concern, as fluctuations are unavoidable
- 加大投資，趁低價吸納 Invest more, take advantage of lower prices

閣下投資的主要目標是什麼? What is your main objective of investment?

- 對抗通脹 Fight Against Inflation
- 提高收益率 Yield Enhancement
- 長線增值 Long Term Gain
- 投機增值 Speculative Gain

下列那一項最能夠形容閣下的投資態度? Which of the following do you think best describes your investment attitude?

- 一般而言，閣下能承受投資上約10%的價格上落波動，以獲得稍微高於銀行存款利率的潛在回報。In general, I can bear price fluctuation of around 10% of my investment in exchange for potential gain, which is slightly higher than the rate of bank deposits.
- 一般而言，閣下能承受投資上大約20%的價格上落波動，以獲得明顯高於銀行存款利率的潛在回報。In general, I can bear price fluctuation of around 20% of my investment in exchange for potential gain, which is much better than the rate of bank deposits.
- 一般而言，閣下能承受投資上大約30%的價格上落波動，以獲得與股票市場指數相若的潛在回報。In general, I can bear price fluctuation of around 30% of my investment in exchange for potential gain, which is comparable to the stock market indexes.
- 閣下能承受投資上任何的價格上落波動，以獲得明顯高於股票市場指數的潛在回報。I can bear any price fluctuation of my investment in exchange for potential gain, which is remarkably higher than the stock market indexes.

衍生產品 derivative product(s)

本人未有衍生產品之認識亦不會進行買賣 I do not have any knowledge of derivative products and will NOT trade them.

或/OR

本人希望購入以下可在交易所買賣之衍生產品 I wish to purchase the following derivative product(s) which is/are traded on an exchange: 牛熊證 Callable Bull/Bear Contracts(CBBC) / 衍生權證 (窩輪) Derivative Warrants / 交易所買賣基金 Exchange-Traded Funds(ETFs), 本人對衍生產品的認識 My Knowledge of Derivative Products (可多選):

- .本人曾接受有關衍生產品的培訓或修讀相關課程 I underwent training or attended courses on derivative products.
- .本人現時或過去擁有與衍生產品有關的工作經驗 I have current or previous work experience related to derivative products.
- .本人於過去 3 年內曾執行過 5 次或以上有關衍生產品的交易，例如：衍生權證、牛熊證、股票期權、指數期貨及期權、商品期貨、結構性產品及交易所買賣基金等。I have executed five or more transactions within the past three years in derivative products, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Index Futures and Options, Commodities Futures, Structured Products, and Exchange-Traded Funds, etc.

本人確認鉅誠已通知本人買賣衍生產品前必須對相關產品具備足夠的認識，及已提醒本人要注意衍生產品之產品性質、特性及風險。

I confirm CSL has notified me that it is compulsory to understand derivative products before trading, and has also warned me to care about the product nature, characteristics and risk associated with derivative products.

如本人被歸類為「對衍生產品沒有認識」，本人進一步確認已仔細閱讀在《客戶協議書》所載風險披露聲明所列明的有關投資衍生產品所涉及的風險，並完全明白其中所述之相關風險。儘管本人可能並沒有相關衍生產品交易經驗，本人可能基於獨立判斷仍要求進行衍生產品交易。本人願意承擔所有相關衍生產品風險。

If I am categorized as "without knowledge of derivatives", I further acknowledge that I have carefully read the relevant risks associated with investing in derivative products of the Risk Disclosure Statement in the Client Agreement and fully understood the relevant risks herewith. Although I might not have relevant derivatives product(s) trading experience, I may still base on my own independent judgement to request for entering into transaction(s) of derivatives product(s) and would take all risks associated.

(II) 稅務居民資料 Tax Residency
稅務編號 TIN

請填寫以下表格，列明 (a) 賬戶持有人為稅務目的而為居民的居留司法管轄區 (包括香港) 及 (b) 賬戶持有人於該居留司法管轄區的稅務編號。請列出所有 (不限於 3 個) 居留司法管轄區。

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to three) jurisdictions of residence.

如賬戶持有人是香港或中國稅務居民，稅務編號是其香港或中國身份證號碼。

If the account holder is a tax resident of Hong Kong or PRC, the TIN is the Hong Kong or PRC Identity Card Number.

如沒有提供稅務編號，必須填寫合適的理由：

理由 A – 帳戶持有人的居留司法管轄區並沒有向其居民發出稅務編號。

理由 B – 帳戶持有人毋須提供稅務編號。居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號。

理由 C – 帳戶持有人不能取得稅務編號。(如選取理由 C，解釋帳戶持有人不能取得稅務編號的原因)

If a TIN is unavailable, provide an appropriate reason:

Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

Reason B – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

Reason C – The account holder is unable to obtain a TIN. (Explain why the account holder is unable to obtain a TIN if you have selected Reason C)

居留司法管轄區 Jurisdiction of Residence	稅務編號 TIN	如沒有提供稅務編號，請選取理由 A、B 或 C Please tick Reason A, B or C if no TIN is available	如選取理由C，解釋賬戶持有人不能取得稅務編號的原因 Explain why the account holder is unable to obtain a TIN if Reason C is selected
		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	
		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	
		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	

美國《海外賬戶稅收合規法案》 Foreign Account Tax Compliance Act ("FATCA")

1. 閣下是否(就稅務目的而言) 美國居民*及/或美國公民#? Are you a U.S. Resident* for tax purposes and/or a U.S. citizen# ?
 否 No 是 Yes (請填寫 Form W-9, Please further complete Form W-9.)

2. 閣下是否擁有美國地址 (如居住地址、郵寄地址、郵政信箱)?

Do you have any U.S. address? (e.g. residential address, mailing address, P.O. box)

否 No 是，請說明 Yes, please specify: _____

3. 閣下是否擁有美國電話號碼 (如住宅、工作、手提號碼、傳真號碼)?

Do you have any U.S. telephone number? (e.g. home, work, mobile, fax number)

否 No 是，請說明 Yes, please specify: _____

4. 閣下有否或會否發出向某美國銀行賬戶轉賬款項的常設授權指示?

Have you given or will you give standing instruction to to pay amounts to an account maintained in the U.S.?

否 No 是，請說明 Yes, please specify: _____

5. 閣下授權第三方操作賬戶(如有)，該獲授權人士是否擁有美國地址(如居住地址、郵寄地址、郵政信箱)?

You have authorized third party to operate the account (if any), does the authorized person have any U.S. address? (e.g. residential address, mailing address, P.O. box)

否 No 是，請說明 Yes, please specify: _____

如第 2至5題之任何答案為“是”，請額外填寫 W8BEN 表格。If any of the answers to questions 2 to 5 are “Yes”, please further complete W8BEN Form.

*美國居民，就稅務目的而言，包括美國綠卡持有人(即美國合法永久居民)及符合實質居住測試的個人(即他/她在本納稅年度已處於美國境內最少31實際天數，並在一個為期三年的年期內根據以下的計算方式處於美國境內183等同的天數: 等同的天數 = 實際於本納稅年度處於美國境內的天數 + 他/她於前一納稅年度處於美國境內的天數之三分之一 + 他/她於之前第二個納稅年度處於美國境內的天數之六分之一)。閣下應就閣下的美國稅務居住地問題諮詢閣下的稅務顧問。A U.S. resident for tax purposes includes a U.S. green card holder (i.e. a U.S. lawful permanent resident) as well as an individual who meets the substantial presence test (i.e. he/she has been present in the U.S. for at least 31 actual days in the current tax year and 183 equivalent days during a three-year period using the following formula: equivalent days = actual days in the U.S. in the current tax year + 1/3 of his/her days in the U.S. in the immediate preceding tax year + 1/6 of his/her days in the U.S. in the second preceding tax year). The Client should consult his/her/their own tax advisor regarding such U.S. tax residency.

一個出生在美國領土的人(例如，美屬薩摩亞、北馬裡亞納羣島共榮邦(於1986年11月3日之後出生)、關島、波多黎各自由邦、美屬處女群島) 也被視為美國公民。A person who was born in a U.S. territory (e.g. American Samoa, the Commonwealth of Northern Mariana Island (born after November 3, 1986), Guam, the Commonwealth of Puerto Rico, the U.S. Virgin Islands) would also be considered as a U.S. citizen.

(III) 聲明 Declaration

閣下是否以中介人身份操作帳戶? Are you acting as an intermediary for the account?

否 No 是, 請說明 Yes, please specify: _____

閣下是否與鉅誠或其關聯公司之主要股東、董事或僱員有任何關係? Do you have any relationship with any substantial shareholder, director or employee of CSL or its associated companies?

否 No 是, 請說明 Yes, please specify: _____

閣下是否為任何根據證券及期貨條例註冊持牌法團或銀行業條例之註冊機構之僱員 (不管閣下現時是否為證監會持牌人士/金管局註冊人士)? Are you an employee of a licensed corporation under the Securities and Futures Ordinance, or a registered institution under the Banking Ordinance (whether you are currently a SFC licensed person /HKMA registered person or not)?

否 No 是, 請說明 Yes, please specify: _____

客戶的配偶是否持有鉅誠的帳戶? Does the spouse of the Client have an account with CSL?

否 No 是, 請說明 Yes, please specify: _____

閣下及/或閣下配偶是否單獨或共同持有鉅誠其他證券賬戶客戶35%或以上之表決權?

Do(es) the Client and/or the Client's spouse individually or jointly hold(s) 35% or more of the voting rights of another Securities Account(s) Client of CSL?

否 No 是, 請說明 Yes, please specify: _____

閣下或家人或關係密切的人是否屬「政治人物#」一類人士?

Are you or any of your family members or a close associate a Politically Exposed Persons ("PEP")#?

否 No 是, 請說明 Yes, please specify: _____

政治人物是指擔任或曾擔任重要公職或在國際組織擔任或曾擔任重要職位的個人, 包括國家元首、政府首長、資深從政者、高級政府、司法或軍事官員、國有企業高級行政人員及重要的政黨幹事及高級管理層。詳細定義見《打擊洗錢及恐怖分子資金籌集條例》(第615章)。PEP means an individual who has been entrusted with a prominent public function or entrusted with prominent function by an international organisation and includes a head of state, head of government, senior politician, senior government, judicial or military official, senior executive of a state-owned corporation, an important political party official and members of senior management. It is more specifically defined under Anti-Money Laundering and Counter-Terrorist Financing Ordinance (Cap. 615).

鉅誠擬使用閣下的個人資料作直接促銷, 為此須取得閣下的同意。通過簽署此開戶申請表格, 閣下同意鉅誠向你發放關於財務、證券、投資服務和產品的推廣資料, 亦同意鉅誠與其所屬集團的公司分享閣下的個人資料作行銷用途。

CSL intends to use your personal data in direct marketing which requires your consent. By signing this Account Opening Form, you agree to receive promotional and direct marketing information from CSL in respect of financial, insurance, securities, investment services and products CSL may offer. You further agree that CSL may share your personal data with its group companies for marketing purposes.

是 Yes 否 No

(IV) 確認及簽署 Acknowledgement and Execution by the Client

1. 本人謹此聲明在本開戶表格所提供之資料全部為真實、完整及正確，除非鉅誠接到更改有關本開戶表格內容之書面通知，否則鉅誠有權完全依賴此等資料及聲明作一切用途。鉅誠或其代理獲授權可隨時就核對本開戶表格資料事宜，與任何人包括本人之銀行或任何信用機構進行核實。
2. 本人承諾，如本人的上述信息有所改變，或本人的稅務居民身分改變，本人會在30日內通知鉅誠。
3. 本人確認是賬戶的最終及唯一實益擁有人，並完全負責為該賬戶運作所發出的一切指示。
4. 本人知悉及同意，鉅誠可根據《稅務條例》（第112章）有關交換財務帳戶資料的法律條文，（a）收集本客戶資料聲明所載資料並可備存作自動交換財務帳戶資料用途及（b）把該等資料和關於客戶及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到客戶的居留司法管轄區的稅務當局。
5. 本人確認鉅誠已提供且本人收到客戶協議書，包括：電子交易服務條款(如適用)及風險披露聲明書(“客戶協議書”)。本人同意及確認此開戶表格為《客戶協議書》的一部份，並同意自行到鉅誠網站<http://www.citrussecurities.com> 瀏覽最更新之《客戶協議書》，並知悉鉅誠會適時於網站發佈最更新之《客戶協議書》。
6. 本人確認已閱讀並明白附上之客戶協議書內所有有關條款並且接受及同意受可不時被修改的該協議書之條款所約束，本人在此以書面通知、及確認並授權鉅誠行使在客戶協議書內全部的常設授權。本人進一步確認鉅誠已經邀請本人閱讀風險披露聲明書及電子交易服務條款(如適用)，提出問題及徵求獨立的意見(如本人有此意願)。
7. 本人要求鉅誠為本人開立證券買賣帳戶並同意遵守聯交所及其他監管機構不時修訂以監管於聯交所或其他交易所進行證券買賣之條例及規則。
8. 本人已仔細閱讀、完全理解並同意接受及遵守該協議書內之個人資料收集聲明。

1. I hereby confirm and represent that the information on this Account Opening Form is true, complete and correct. CSL is entitled to rely fully on such information and representations for all purposes, unless CSL receives notice in writing of any change of information contained on this Account Opening Form. CSL or any of its agents is hereby authorized at any time to contact anyone, including my/our bank s or any credit agency, for the purpose of verifying the information provided on this Account Opening Form.
2. I undertake to notify CSL within 30 days if any change of the above information, or any change for the tax residency status.
3. I hereby confirm I am the ultimate and sole beneficial owner of the Account and is fully responsible for all instructions for the operation of the said Account.
4. I acknowledge and agree that (a) the information contained in this Client Information Statement is collected and may be kept by CSL for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the Client and any reportable account(s) may be reported by CSL to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the Client may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance Cap.112).
5. I hereby confirm that I have received the Client Agreement, including the Terms of Electronic Trading Services (if applicable) and the Risk Disclosure Statement (“Client Agreement”) provided by CSL. I acknowledge this Form is part of the Client Agreement and I agree to read the most updated provision of the Client Agreement from CSL website <http://www.citrussecurities.com> and understand the most updated provision of the Client Agreement will be posted from time-to-time in CSL website.
6. I hereby confirm that I have read and understand the relevant provisions of the Client Agreement and accept and agree to be bound by the Client Agreement as the same may be amended from time to time, and I hereby give you notice in writing that I confirm and authorize CSL to exercise all the powers of the Standing Authorization under the Client Agreement. I further acknowledge and confirm that I have been invited by CSL to read the Risk Disclosure Statement and the Terms of Electronic Trading Services (if applicable); ask questions and take independent advice, if I so wish.
7. I request CSL to open a securities trading account for me and agree to abide by the rules and regulations of the Exchange or any other regulatory body(ies) as amended from time to time governing the purchase and sale of securities quoted on the the Exchange or any other stock exchanges.
8. I have carefully read, fully understood and agreed to accept and be bound by the Personal Information Collection Statement of the Client Agreement.

客戶簽署 Client Signature :

X

Date 日期: _____

內部填寫 For Internal Use

見證客戶簽署及身份證明文件驗證 (如適用) Certification of Client Signature and Identity Proof (if applicable)

若本開戶表格並非在鉅誠之證監會持牌僱員面前簽立或並非連同支票一併遞交，則以下部分應由指定人士，包括其他證監會持牌人或註冊人、太平紳士或專業人士例如銀行分行經理、執業會計師、律師或公證人，簽署驗證。而該指定人士需提供其已簽署之身份證明文件副本及其專業資格證明文件之副本。

If this Account Opening Form is not executed in front of CSL's SFC licensed employee or is not submitted with a cheque, a specified person, including any SFC licensed or registered person, a Justice of Peace, or professional such as a Branch Manager of a bank, Certified Public Accountant, lawyer or Notary Public, should be required to sign below. The specified person should provide us with self-certified ID copy and copy of the professional qualification documents.

以下簽署人士謹此見證上述客戶簽立此文件(連同客戶協議書) 及其有關的身份證明文件:

The undersigned person hereby certify the signing of this Account Opening Form (together with the Client Agreement) by the above Client(s) and witness of related identity documents of such Client(s) :

Signed and Certified by 見證人簽署 X	Name 姓名	Date 日期: _____
---	---------	----------------

持牌職員聲明 Declaration by Licensed Staff

本人，以持牌人士身份，確認本人已向上述客戶提供風險披露聲明書之副本及邀請客戶閱讀該風險披露聲明書、提出問題及徵求獨立意見(如客戶有此意願)。

I, a registered person, declare that I have provided the above Client with a copy of the Risk Disclosure Statement and invited the Client to read the Risk Disclosure Statement, ask questions and take independent advice if the Client so wishes.

以上客戶簽署乃於本人面前簽立或由本人通過電話確認。

The signature(s) of the above Client(s) was/were made in my presence or verified by telephone conversation.

Signed by Staff 職員簽署 X	Name of Staff 職員姓名	Date 日期: _____
--------------------------------------	--------------------	----------------

佣金收費: ____%, 最低收費HK\$_____	交易限額 (T+0) HK\$_____	信貸限額 (T+2) HK\$_____
----------------------------	----------------------	----------------------

該客戶是否存在需特別關注的事項，例如（身份政治背景、AML風險、資金來源、年齡等）：

批核人員名稱和職位和簽名: X	資料輸入職員名稱, 簽名及日期: X	核對職員名稱, 簽名及日期: X
賬戶開設成功及密碼發送日期:		

風險容忍程度 Risk Tolerance Level

1 非常低風險 2 低風險 3 中等風險 4 高風險 5 非常高風險

備註：

備註：
